

Initiation of clinical studies with SL-701, a synthetic multi-peptide vaccine with enhanced immunostimulatory properties targeting multiple glioma-associated antigens, in adults with first recurrence of glioblastoma

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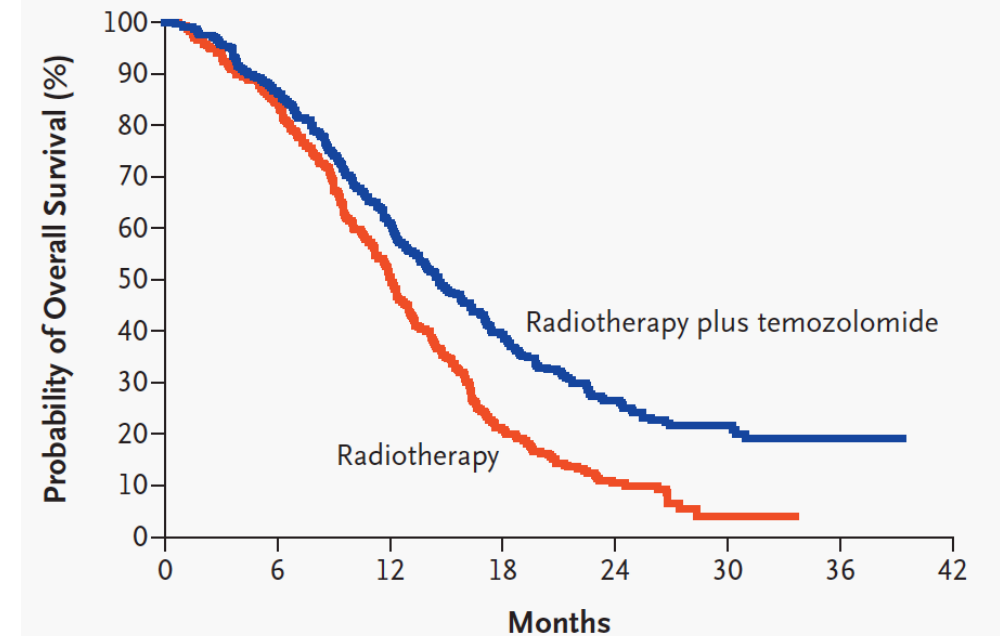
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Glioblastoma multiforme: a significant unmet medical need

- Glioblastoma multiforme (GBM) is the most frequent malignant primary brain tumor in adults.
- Resection, radiation, and temozolomide confer survival benefit; however median overall survival (OS) remains limited: ~ 15 months.¹
- Glioma stem cells are resistant to radiation and chemotherapy and thought to be key contributors to tumor recurrence.
- Therefore, a multi-pronged approach targeting both tumor bulk and cancer stem cells is a necessary therapeutic strategy for GBM.
- Immunotherapies have shown promise in many solid tumors, and emerging evidence suggests that the central nervous system is immunocompetent and accessible to immune cells.²



Patients with newly diagnosed, histologically confirmed GBM were randomly assigned to receive radiotherapy alone or radiotherapy + continuous daily temozolomide, followed by 6 cycles of adjuvant temozolomide.¹

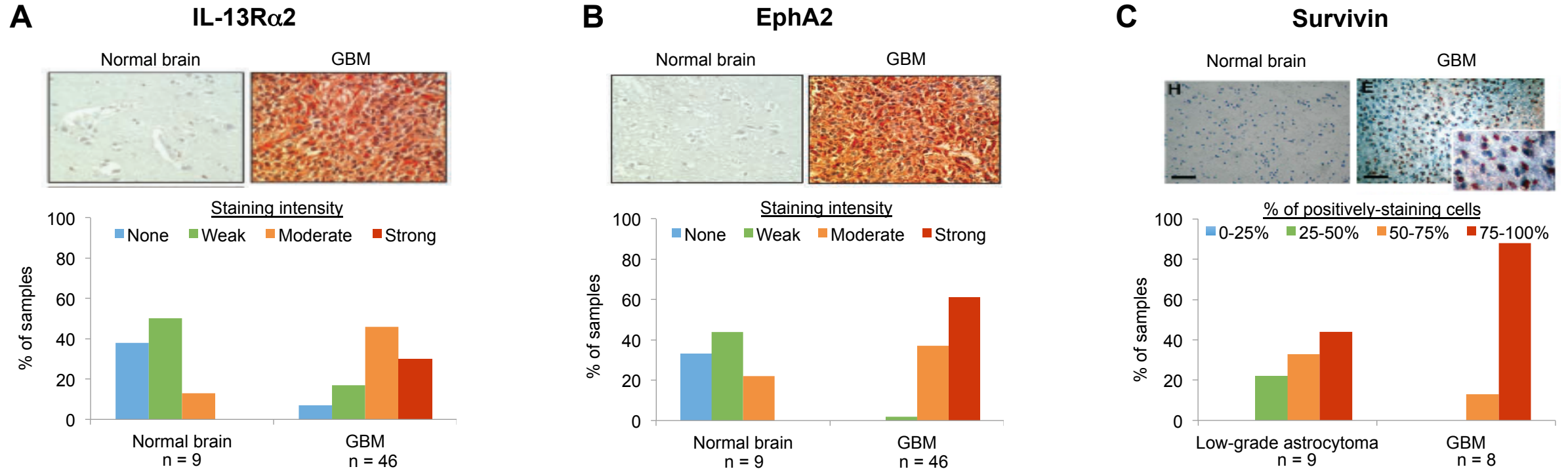
¹ Stupp, R. et al. *N Engl J Med.* 2005; 352 (10); ² Reardon, D. A. et al. *Neuro Oncol.* 2014 Nov; 16 (11)

SL-701 background

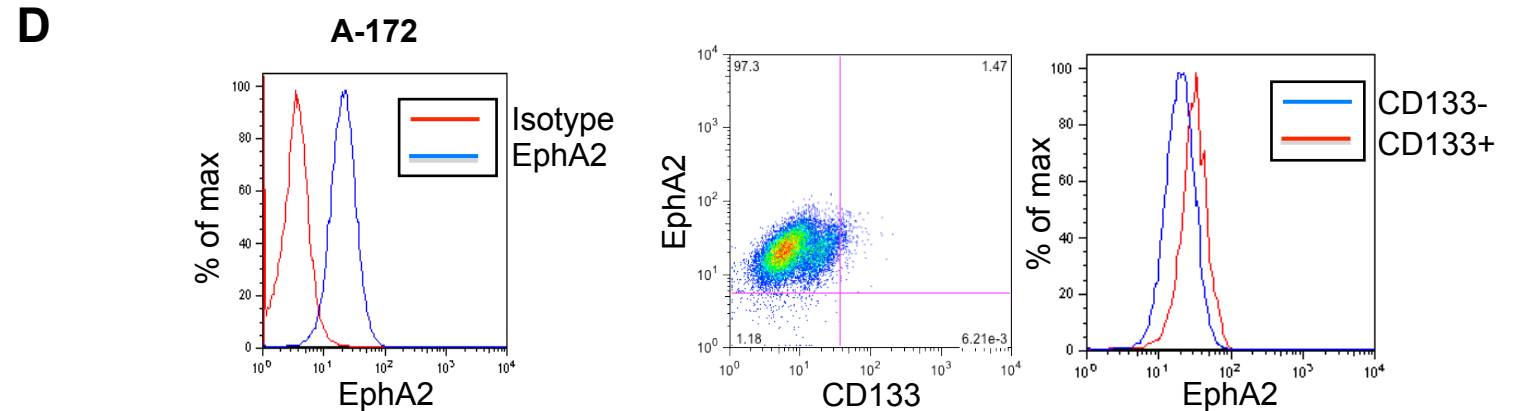
- SL-701 is a synthetic multi-peptide immunotherapy.
 - Consists of three shortened peptides corresponding to targets overexpressed by GBM.
 - Includes peptides of IL-13R α 2 and survivin that have been engineered with amino acid substitutions to increase immunostimulatory activity.
- Most gliomas studied to date express two or three of these targets.³
- An earlier version of this therapy was associated with major responses, including durable complete responses (CRs), in advanced brain cancer patients.
- SL-701 has been optimized over this earlier version for enhanced immunostimulation and ease of administration.
- SL-701 peptides are emulsified and administered via s.c. injection; immunostimulants are co-administered to induce maximal activation of the immune system.
- SL-701-0114 clinical trial is currently open and recruiting adult patients with second-line GBM.

³ Okada, H. et al. *J Neurooncol.* 2008; 88 (3)

Overexpression of SL-701 targets on GBM



(A-C): Expression of IL-13R α 2, EphA2, and survivin was examined on normal brain and GBM specimen by immunohistochemistry. Adapted from Uematsu, M. et al. and Wykosky, J. et al.^{4,5} (D) EphA2 is expressed on GBM stem-like cells. A-172 GBM cells were stained with antibodies to EphA2 and CD133 and analyzed by flow cytometry.



⁴ Uematsu, M. et al. *J Neurooncol.* 2005; 72 (3);

⁵ Wykosky, J. et al. *Clin Cancer Res.* 2008; 14 (1)

Previous clinical experience

Earlier version of SL-701, comprised of similar peptides, demonstrated tumor regressions, including durable CRs and partial responses (PRs), in adults with GBM and children with malignant glioma in investigator-sponsored Phase 1/2 trials.

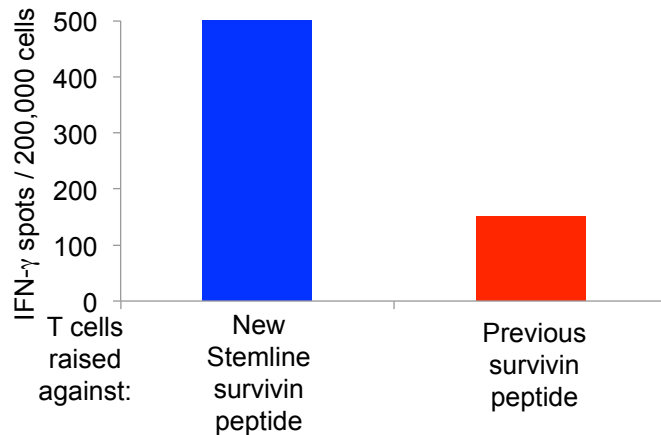
Study population	Peptides	Administration	Ref.
Adult patients with recurrent high-grade glioma	IL-13R α 2 _{345-353:1A9V} EphA2 ₈₈₃₋₈₉₁ YKL-40 ₂₀₂₋₂₁₁ gp100 ₂₀₉₋₂₁₇ PADRE	i.n. injection of peptide-loaded DCs; i.m. injection of poly-ICLC	6
Adult patients with recurrent low-grade glioma	IL-13R α 2 _{345-353:1A9V} EphA2 ₈₈₃₋₈₉₁ Survivin _{96-104:M2} WT1 _{126-134:Y1} Tet _{A830}	s.c. injection of emulsified peptides; i.m. injection of poly-ICLC	7
Children with malignant glioma	IL-13R α 2 _{345-353:1A9V} EphA2 ₈₈₃₋₈₉₁ Survivin _{96-104:M2} Tet _{A830}	s.c. injection of emulsified peptides; i.m. injection of poly-ICLC	8

⁶ Okada, H. et al. 2011. *J Clinical Onc.* 29 (3); ⁷ Okada, H. et al. AACR 2012 abstract nr LB-135; ⁸ Pollack, I. F. et al. *J Clin Oncol.* 2014; 32 (19)

SL-701, an enhanced immunotherapy for GBM

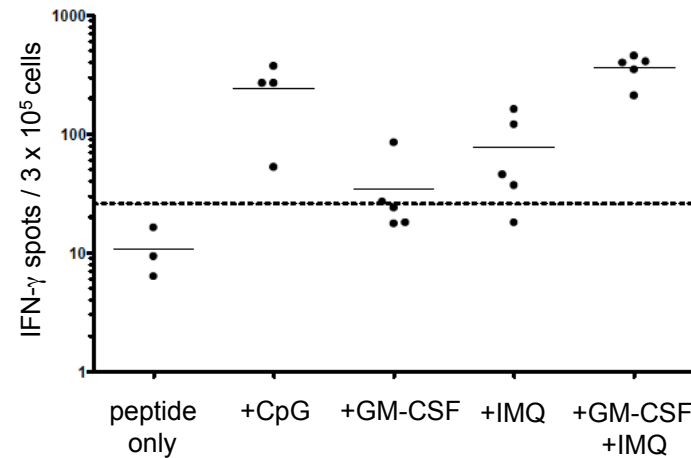
SL-701, comprised of IL-13R α 2 variant, EphA2, and survivin variant peptides, has been optimized over earlier version for enhanced immunostimulation and ease of administration.

- Replaced previous survivin peptide with a novel, highly immunogenic mutant survivin peptide.
- T→M substitution in wildtype survivin₉₅₋₁₀₄ peptide increases binding affinity to HLA and enhances anti-survivin cytotoxic T cell responses.⁹



T cells from a normal donor were raised against the new Stemline survivin peptide or the survivin peptide used in previous glioma trials.^{7,8,9} IFN- γ -secreting cells in response to the corresponding wildtype peptides were enumerated by ELISPOT. Adapted from Bernatchez, C. et al.⁹

- Co-administration of imiquimod and GM-CSF immunostimulants employed to synergistically enhance cytotoxic T cell responses.⁹



Mice were immunized s.c. with OVA peptide on days 0 and +7. GM-CSF was applied s.c. with peptide vaccine and 24 h before. A 5% imiquimod (IMQ) cream was applied to the skin at the vaccination site 30 min before and 24 h after vaccination. Splenocytes were isolated on day 14 to test for the presence OVA-specific CD8⁺ T cells. Adapted from Hilf, N. et al.¹⁰

⁷ Okada, H. et al. AACR 2012 abstract nr LB-135; ⁸ Pollack, I. F. et al. J Clin Oncol. 2014; 32 (19);

⁹ Bernatchez, C. et al. Vaccine. 2011; 29 (16); ¹⁰ Hilf, N. et al. AACR 2010, abstract nr 5623

Phase 1/2 study of SL-701 in adults with recurrent GBM

Multi-center clinical trial for adults with recurrent GBM is now **open and accruing** (NCT02078648).

Eligibility

- GBM or WHO Grade IV variants
- First tumor recurrence or progression on initial multi-modality treatment regimen
- HLA-A2+
- Measurable disease, tumors at least 1 cm in 2 planes (required for 80 of 100 planned subjects)
- No multi-focal tumors or tumors measuring ≥ 4 cm in any dimension
- No prior locoregional or systemic therapy (other than second resection) for recurrent/progressive GBM

Study Population

- Approx. 100 adults

Study Centers

- Approx. 30 sites in North America

Objectives

Primary

- Characterize safety and tolerability of SL-701
- Estimate percent of patients alive 12 months after initiation of SL-701
- Estimate objective response rate (RANO & other)

Secondary

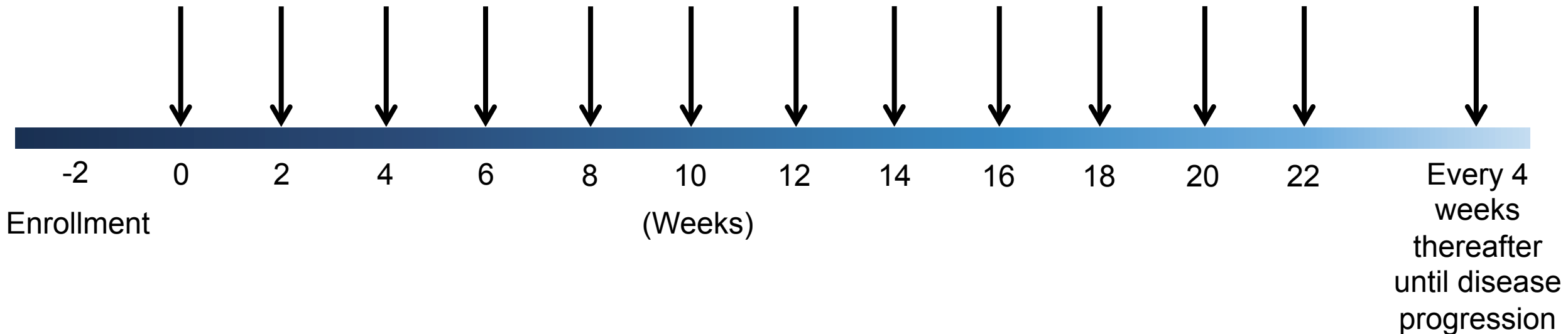
- Estimate duration of response
- Estimate percent of patients alive and progression-free survival at 6 months after initiation of SL-701
- Estimate distributions of progression-free survival and overall survival

Exploratory

- Estimate relationships between measures of immunologic response and anti-tumor efficacy
- Evaluate available post-SL-701 tissue for expression status of SL-701 target antigens and infiltration of antigen-specific T cells

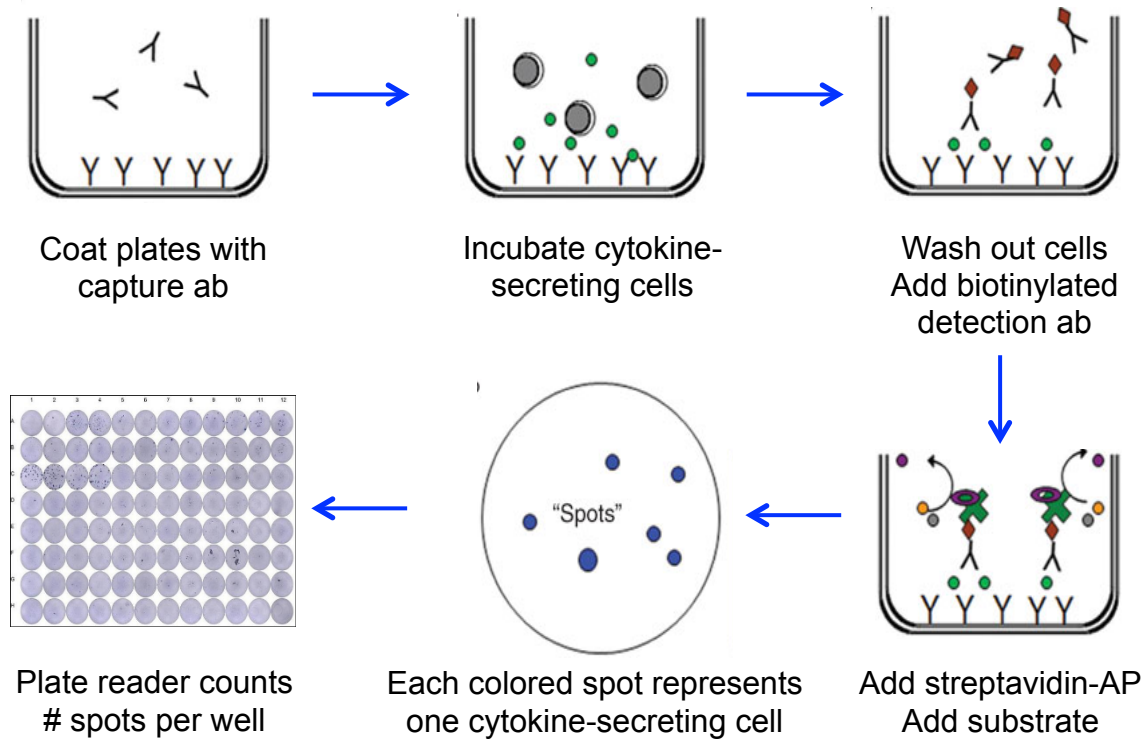
SL-701 regimen

- SL-701 subcutaneous injection in the right or left upper arms with intact draining axillary nodes, alternating locations between administration dates
- 150 μ g GM-CSF subcutaneous injection immediately after SL-701 administration and within 1 cm from the center of the SL-701 administration site
- 125 mg 5% imiquimod cream applied topically to the SL-701 administration site immediately and 24 hours post-SL-701 administration

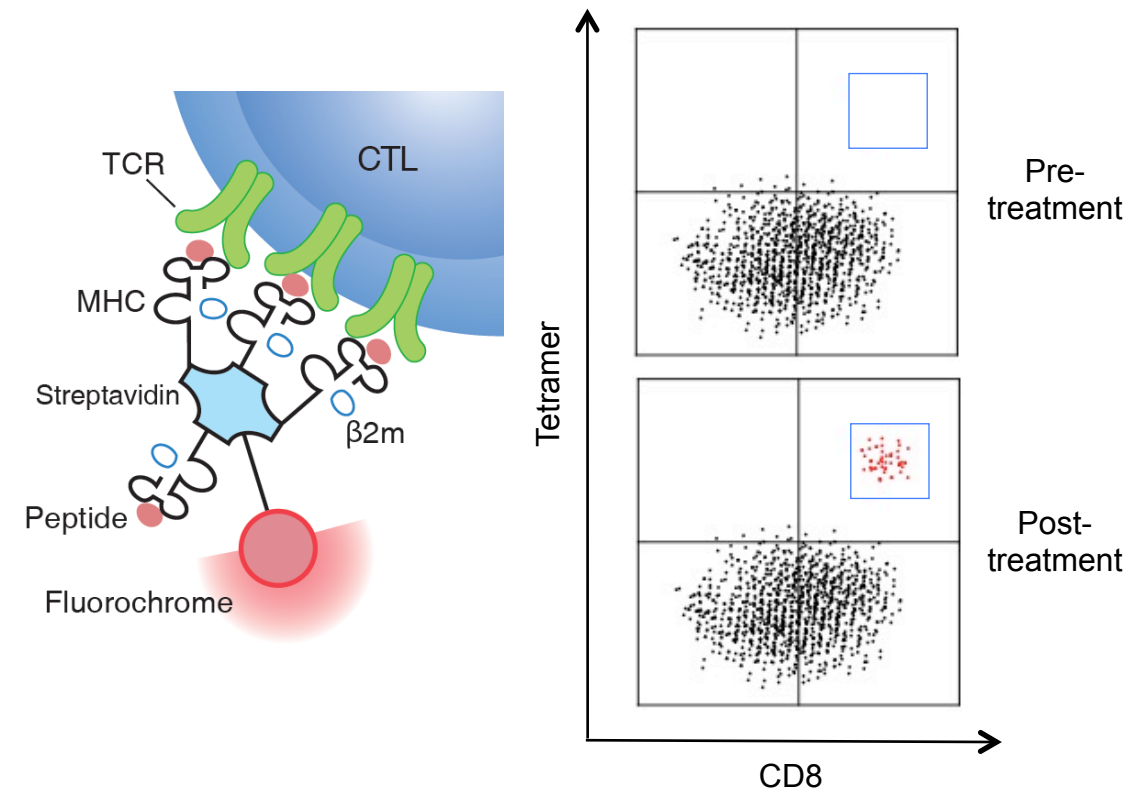


Measuring immunologic responses to SL-701

Frequency of IFN- γ -secreting T cells that develop in response to SL-701 treatment to be measured by ELISPOT¹¹



Presence of antigen-specific CD8⁺ T cells that develop in response to SL-701 treatment to be assessed by tetramer analysis¹²



Summary

- SL-701 is a synthetic multi-peptide immunotherapy.
- SL-701 consists of three peptides corresponding to targets overexpressed on glioma – including peptides of IL-13R α 2 and survivin engineered with amino acid substitutions to increase immunostimulatory activity.
- SL-701 has been enhanced from an earlier clinically active version by the addition of an immunogenic mutant peptide of survivin, as well as immunostimulants that may synergistically enhance cytotoxic T cell responses.
- A multi-center clinical trial with SL-701 in adults with recurrent GBM is now open and actively accruing patients (NCT02078648).